

## **Horizon Investments LLC Customer Privacy Information**

Horizon Investments does not disclose any non-public information about you to non-affiliated third parties unless:

- **Authorized by you;**
- **To protect the confidentiality or security of our records pertaining to you, with regards to any service, product or transaction;**
- **To protect against or prevent actual or potential fraud, unauthorized transaction, claims, or other liability;**
- **To persons holding a legal or beneficial interest relating to you;**
- **To persons acting in a fiduciary or representative capacity on behalf of you;**
- **To respond to judicial process or government regulatory authorities;**
- **To comply with Federal, State, or local laws, rules or other applicable legal requirements and;**
- **To comply with a properly authorized civil, criminal, or regulatory investigation, or subpoena or summons by Federal, State, or local authorities.**

Horizon Investments collects non-public information from:

- **You on applications or other forms;**
- **Information about your transactions with our affiliates, others, or us.**

Horizon Investments has internal security procedures to protect non-public information as follows:

- **Except as otherwise described in this Notice, we restrict access to your non-public personal financial information to our employees who need to know to provide our services to you as permitted by law.**
- **We maintain physical, electronic, and procedural safeguards that comply with legal requirements to guard your non-public personal financial information. We have installed usernames, passwords, and other safety features on our web site applications to protect your data.**
- **If you close your account(s) or become an inactive client, we will continue to adhere to the privacy policies and practices as described in this Notice.**

You do not need to do anything in response to this notice.  
This notice is merely to inform you about our privacy policies and practices.